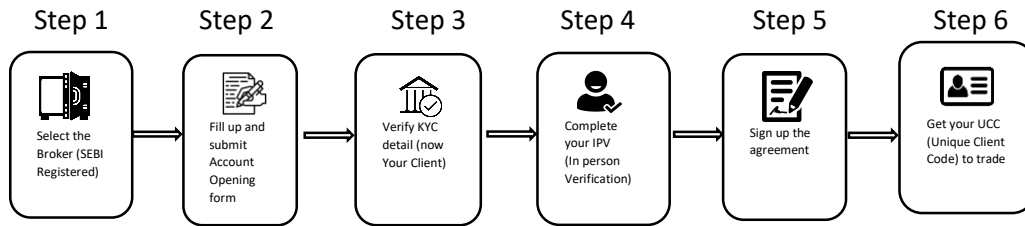
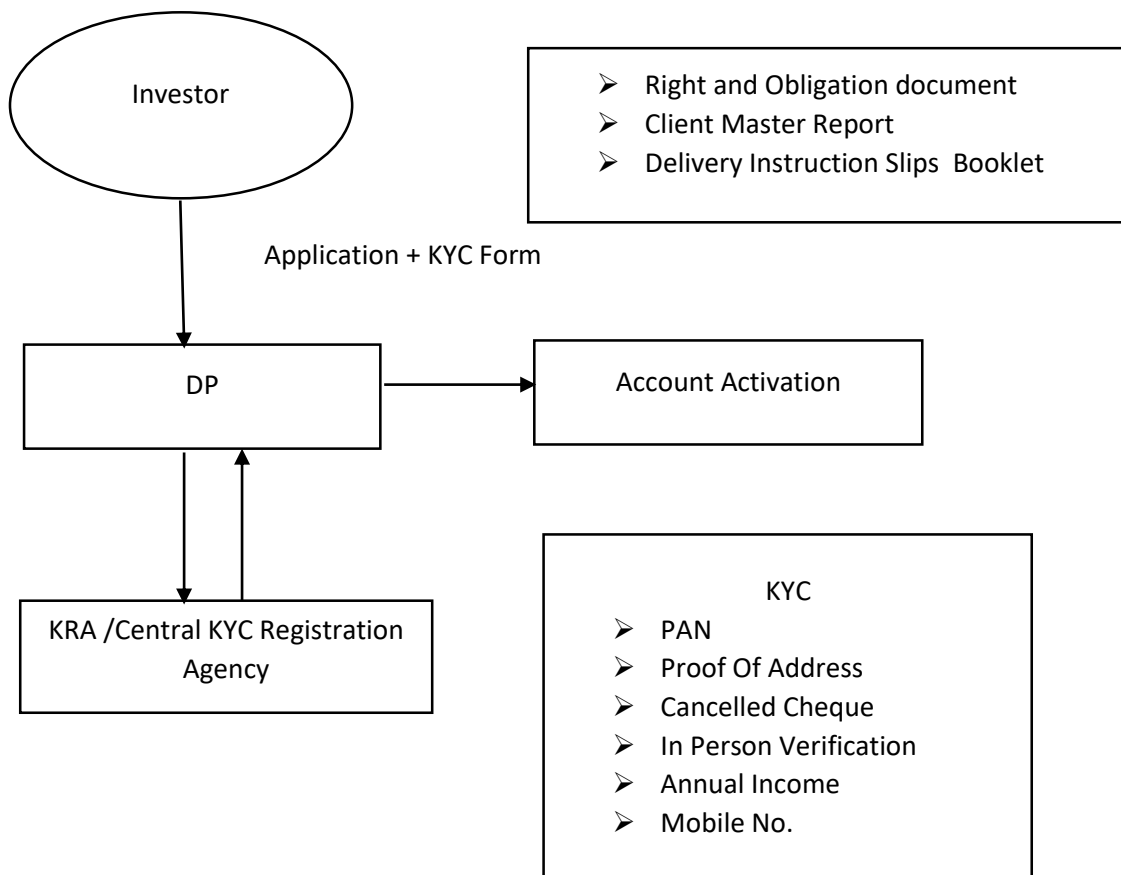


### Trading Account Opening Process



### Demat account Opening process



### **The procedure to open a trading and Demat Account**

- Client/Client's Nominee/Company's Sales Executive should submit the account opening form/ client registration form duly filled-in and signed by the prospective client.
- The Member-Client Agreement should be executed together with the Risk Disclosure Document.
- The Client should provide all the necessary information required along with the relevant documents. Following documents should be collected from non-individuals clients:
  1. Non-individual Client Registration Form;
  2. Member and Client Agreement;
  3. Risk Disclosure Document;
  4. All other supporting documents for identity/address of the non-individual entity and the authorized signatory;
  5. In case of companies, board resolution authorizing the directors/senior employees/ authorized signatory to operate on behalf of the company and to deal in the derivative market. In case of other entities, similar documents would be required;
  6. PAN Card copy of Non-individual client and all the partners/directors in case the client is a partnership firm or body corporate;
  7. Bank Account proof.
- Following documents are to be collected from individual clients:
  1. Individual Client Registration Form;
  2. Member and Client Agreement;
  3. Risk Disclosure Document;
  4. All other supporting documents for identity and residence of the individual;
  5. PAN Card copy;
  6. Bank Account proof.
- Photo identity proof of client should be verified against originals. In case of a non-individual client, photo identities of the directors/authorized persons should be verified against originals and taken on record.
- If all the documents and form are in order, client should be allotted a Unique Client Code (UCC).
- Clients can start transacting only after they have been allotted UCC.